

Wiltshire Council

Audit No.	Directorate/Service	Audit Area	Audit Type	Audit Name	Quarter	Status	Opinion	Proposed Draft Report	Draft Issued	Proposed Final	Final Issued	No. of recs	Recommendations					Comments
													5	4	3	2	1	
30	Corporate & Procurement	Procurement	Operational	Tendering Procedures	January 2015	Created												
31	Environment, Waste & Leisure	Waste Management & Landfill Strategy	Operational	Waste Disposal/Recycling	January 2015	Created												
32	Finance	Revenues & Benefits	Operational	Welfare Reform Act	January 2015	Created												
33	People & Business Services	Employment Tracking	Operational	Employment Tracking	January 2015	Created												
34	People & Business Services	Occupancy Data	Operational	Occupancy Data	January 2015	Created												
35	Transformation	Campus Programme	Operational	Campus Programme	January 2015	Created												
36	Children's Commissioning & Performance	Troubled Families	Grant Certification	Troubled Families phase 2	January 2015	Created												
37	Adult Care Commissioning & Housing	Continuing Health Care	Operational	Continuing Health Care	January 2015	Created												
CARRIED FORWARD 2013 - 14 IN PROGRESS (STATUS RED)																		
38	Children's Social Care	Care Placements	Follow Up	Care Placements Follow Up	2013/14	In Progress												Confirmation of status awaited from service.
39	Information Services	Systems Administration	ICT	Systems Administration	2013/14	Draft			10/02/14									Response awaited from management.
40	Information Services	IT Infrastructure	Key Control	Corporate ICT Processes	2013/14	Draft			02/05/14									Response awaited from management.
41	Information Services	Information Security	ICT	Sharepoint Security	2013/14	Draft			22/07/14									Response awaited from management.
42	Children's Social Care	Disclosure and Barring Service (DBS)	Operational	Disclosure and Barring Service (DBS)	2013/14	Draft			16/09/14									Response awaited from management.
PROJECTS CURRENTLY IN PROGRESS (STATUS AMBER)																		
43	Adult Social Care Operations	Adult Social Care	Operational	Monitoring Capacity of Social Workers	April 2014	In Progress		15/10/2014										Timing of audit planned to be carried out with similar work in Childrens Services. Work to commence after key controls priority.
44	Economic Development & Planning	Development Control	Operational	S.106 monies/Community Infrastructure Levy (CIL)	April 2014	In Progress		23/09/2014										Initial delay due to awaiting information from client. This was resolved and the main audit work has been completed although finalisation on hold whilst key control work is prioritised.
45	Finance	Trust Funds	Operational	Trust Funds Administration	July 2014	In Progress		16/10/2014										On hold due to audit staff sickness absence.
46	Finance	VAT	Operational	Payment and Recovery of VAT	July 2014	In Progress		06/11/2014										On hold due to audit staff sickness absence.
47	Environment, Waste & Leisure	Waste Collection	Operational	Waste Collection	October 2014	In Progress		TBC										Initial discussions with Service Director to discuss the scope of the work.

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													5	4	3	2	1	
AUDITS AT REVIEW, DISCUSSION OR DRAFT STAGE (STATUS AMBER)																		
77	Finance	Pensions	Operational	Administration of Pensions	April 2014	Discussion Document				07/10/2014							Initial delay due to staff resources. Audit work completed and discussion document now with client.	
78	Highways & Transport	Car Parking Services	Operational	Residential Parking Permits	April 2014	Draft			02/10/2014	17/09/2014							Response awaited from management.	
79	Highways & Transport	Highways	Operational	Highways & Streetscene Contract	July 2014	Draft			10/10/2014	15/09/2014							Response awaited from management.	
AUDITS AT REVIEW, DISCUSSION OR DRAFT STAGE (STATUS GREEN)																		
80	Finance	Payroll	Key Control	Payroll	October 2014	Discussion Document				18/02/2015								
2014 - 15 AUDITS COMPLETED (STATUS GREEN)																		
81	Finance	Accounts Payable	Governance, Fraud & Corruption	Creditor Warnings	July 2014	Final	Non Opinion				31/07/2014	0	0	0	0	0	0	
82	People & Business Services	Carbon Reduction Commitment Scheme	Operational	Carbon Reduction Commitment Scheme	April 2014	Final	Reasonable	28/07/2014	30/07/2014	11/08/2014	23/09/2014	8	0	0	5	1	2	
83	Public Health & Public Protection	Public Health	Grant Certification	Public Health Grant Certification	April 2014	Final	Non Opinion				29/09/2014	0	0	0	0	0	0	
84	Highways & Transport	Highways	Grant Certification	Highways Maintenance Grant Certification	June 2014	Final	Non Opinion				29/09/2014	0	0	0	0	0	0	
85	Highways & Transport	Passenger Transport	Grant Certification	Bus Subsidy Grant	September 2014	Final	Non Opinion				30/09/2014	0	0	0	0	0	0	
86	Transformation	Closure of Offices	Follow Up	Access Passes	August 2014	Final	Non Opinion				02/10/2014	0	0	0	0	0	0	
87	Public Health & Public Protection	Emergency Planning	Operational	Emergency Planning	April 2014	Final	Reasonable	16/09/2014	15/10/2014	30/09/2014	03/11/2014	8	0	2	4	2	0	
88	Public Health & Public Protection	Environmental Health	Operational	Private Water Supplies	April 2014	Final	Reasonable	22/07/2014	16/10/2014	05/08/2014	11/11/2014	7	0	2	4	1	0	
89	Children's Social Care	Special Educational Needs & Disability (SEND)	Non Opinion	SEN Provision	April 2014	Final	Non Opinion			23/09/2014	11/11/14	0	0	0	0	0	0	
90	Adult Care Commissioning & Housing	Court of Protection	Operational	Court of Protection	April 2014	Final	Partial	04/08/2014	17/09/2014	18/08/2014	15/12/2014	14	0	3	7	4	0	
91	Adult Social Care Operations	Care Homes	Operational	Client Property	January 2015	Final	Reasonable	19/12/2014	27/11/2014	02/01/2015	16/12/2014	4	0	0	4	0	0	
92	People & Business Services	Expense Claims	Operational	Expense Claims - HMRC	July 2014	Final	Partial	08/10/2014	17/10/2014	22/10/2014	19/12/2014	5	0	1	4	0	0	
93	Adult Care Commissioning & Housing	Safeguarding (Adults)	Operational	Safeguarding	January 2015	Final	Partial	08/10/2014	28/11/2014	22/10/2014	22/12/2014	36	2	9	22	3	0	
CARRIED FORWARD 2013 - 14 COMPLETED (STATUS GREEN)																		
94	Adult Social Care Operations	Vulnerable Adults	Follow Up	Vulnerable Adults follow up	2013/14	Final	Non Opinion											
95	People & Business Services	Restructuring & Redundancies	Operational	Voluntary redundancies	2013/14	Final	Substantial					0	0	0	0	0	0	
96	Legal & Democratic	Electoral Services	ICT	Xpress Payroll	2013/14	Final	Reasonable					3	0	0	3	0	0	
97	Children's Social Care	Child Placements	Operational	Independent Reviewing Process	2013/14	Final	Reasonable					5	0	1	3	1	0	
98	Children's Social Care	Foster Carers	Operational	Foster Carer Reports	2013/14	Final	Partial					23	3	11	8	1	0	
99	Environment, Waste & Leisure	Leisure	Operational	Legionella and COSHH	2013/14	Final	Reasonable					7	0	0	5	2	0	
100	Finance	General Ledger & Financial Accounting	Key Control	General Ledger & Financial Accounting	2013/14	Final	Substantial					4	0	0	1	3	0	
101	People & Business Services	Workforce	Operational	Employment Tracking	2013/14	Final	Partial					8	0	5	3	0	0	
102	Schools & Learning	Benchmarking	School	Schools - Benchmarking	2013/14	Final	Non opinion					0	0	0	0	0	0	
103	Schools & Learning	Payment Cards	School	Schools - Payment Cards	2013/14	Final	Non opinion					0	0	0	0	0	0	
104	Core Cross-Cutting	Expenses Fraud	Operational	Members Expense Claims	2013/14	Final	Partial					6	0	2	4	0	0	
105	Children's Social Care	Children's safeguarding	Follow Up	Children's Safeguarding Follow Up	2013/14	Removed											To be covered by the Children's safeguarding audit included in the 2014/15 plan.	